

Executive

20 January 2009

Report of the Director of Resources

Second Performance and Financial Monitor for 2008/09

Purpose of the Report

- 1 This report provides details of the headline performance issues from the second performance monitor period to 31 October 2008. It also builds on this to present the latest projection of the Council's revenue out-turn for 2008/09.
- 2 Three key areas of performance are covered in this report:
 1. **Finance** – which covers service and corporate budgets
 2. **Performance** – which examines how well the council is performing across a wide range of performance indicators, at both corporate and directorate level.
 3. **Key projects and priorities** – providing an update on how well we are delivering improvement under our 10 corporate priorities and the key projects that support their improvement.

Monitor 2 headlines

- 3 The first six pages of this report cover the main headlines for monitor 2 and the rest of the report studies each directorate in more detail. Below is a summary of the key finance and performance headlines:

Finance - budget overview

- 4 The General Fund budget for 2008/09 is £117,021k, utilisation of balances and reserves reduce the call on council tax to £110,471k. The current projection is that there will be a net underspend of £1,528k in the year, of which Treasury Management activity is contributing £1,289k. The current projected underspend of £1,528k is likely to increase as confidence in outturn predictions increases.

Reserves

- 5 The level of revenue reserves at 31 March 2009 is projected to be £9,225k before any adjustment is made for net variations in the current year, as shown on the following table. The 2008/09 budget process recommended a minimum threshold level of £5,361k.

Summary of Revenue Reserves

		2008/09 £'000
General Fund Reserve		
Balance at 1 April		(11,386)
Less:		
Committed in Annual Budget	1,992	
Underspend in previous year released in year	1,513	
Transfer to Invest for Efficiency	1,000	
Supplementary Estimates granted to date	1,519	6,024
		<u>(5,362)</u>
Add:		
NNDR Rebates	(50)	
Additional LABGI grant	(691)	
Transferred funds from insurance reserve	(300)	(1,041)
		<u>(1,041)</u>
Expected Balance at 31 March 2009		<u>(6,403)</u>
Expected Balance on Trading Reserve at 31 March 2009		(246)
Expected Balance on Venture Fund at 31 March 2009		(2,576)
Expected Balance on Revenue Reserves at 31 March 2009		(9,225)

- 6 This shows the General Fund reserve will reduce to £6,403k by the end of the current financial year, before any adjustments for under or overspends are included. Once other reserves are taken into account the level of reserves for CPA purposes is forecast to stand at £9,225k. It should be noted that while this is above the minimum threshold of £5,361k, the amount of £2,576k set aside in the Venture Fund is fully committed over the next 2-3 years on the financing costs of the Admin Accommodation project and the up front costs of the Easy project. Available resources are expected to reduce to around £653k above the minimum threshold by the end of 2010/11. These reserves are also available to fund the potential cost of current litigation and tribunals.
- 7 The NNDR refunds received to date, after deducting all fees due to the Council's agents and the fee expected to be paid to property services, is £18k. It is projected that this may increase to £50k by 31 March 2009, and the latter figure has been included in the reserves statement at paragraph 5.
- 8 The latest information on the York High School fire are that costs are likely to be in the region of £900k, of which £700k will be recoverable through insurance. There is therefore a potential call on council reserves of £200k.

The General Fund

- 9 The table following summarises the information presented to the individual EMAPs, together with a forecast for those activities that fall under the remit of the Executive. It shows that service areas are projecting an overspend of £170k compared to £1,092k at monitor 1, although applications have been made for £306k to be released from the contingency (see paragraph 26). If granted this would change the forecast to an underspend of £136k. The forecast for central contingency assumes that these requests will be granted. Central budgets are forecast to underspend by £103k and Treasury Management activity is forecast to underspend by £1,289k.

Summary of Budgets and Variations

Variance 2007/08 £000		Monitor 1 Variance £000	Currently Approved Budget			Variances Out-turn to Net Budget £000	Projected Out-turn £000
			Gross Exp. £000	Income £000	Net Budget £000		
	<u>Service Area</u>						
+414	Children's Services (non DSG)	+629	70,345	(41,437)	28,908	+528	29,436
+47	Leisure and Culture	+57	12,847	(4,166)	8,681	+47	8,728
-16	Economic Development	+23	5,997	(3,428)	2,569	-	2,569
-49	City Strategy ¹	+228	37,272	(19,847)	17,425	+73	17,498
-377	Neighbourhood Services	+214	22,830	(7,082)	15,748	+139	15,887
+3	Chief Executive's Department	+105	11,506	(5,578)	5,928	+60	5,988
-170	Property	+188	10,403	(8,745)	1,658	+294	1,952
-1,363	Resources	-301	59,808	(55,070)	4,738	-421	4,317
-110	Housing General Fund	-72	11,255	(9,850)	1,405	-74	1,331
-939	Adult Social Services	+21	60,307	(22,252)	38,055	-476	37,579
-2,560	Total of Service Areas	+1,092	302,570	(177,455)	125,115	+170	125,285
	<u>Centrally Held Budgets</u>						
-	Notional Interest	-	(13,907)	-	(13,907)	-	(13,907)
-	Contribution from Cap Fin Acct	-	-	(7,585)	(7,585)	-	(7,585)
-2,340	Treasury Management	-1,136	11,213	(4,276)	6,937	-1,289	5,648
-1,449	Other Central Budgets	+134	6,722	(691)	6,031	-103	5,928
-200	General Contingency ²	-512	430	-	430	-306	124
229	Non availability of Trading Profit						
-6,320	Non DSG General Fund Total	-422	307,028	(190,007)	117,021	-1,528	115,493

¹ Projection assumes that Members agree the recommended use of the Housing & Planning Delivery Grant

² Projection assumes all bids from EMAPs are successful

- 10 This is an improvement from the first monitor position. Part of this is due to the additional cost of energy costs in the current year being absorbed within existing budgets (£250k) and part to the use of the Housing and Planning Delivery Grant (£135k, subject to Member approval). The following table shows the movements in projections:

Comparison of Projections Monitor 1 and Monitor 2

	Monitor 1 Variance £'000	Suppl Ests £'000	Revised Variance £'000	Monitor 2 Variance £'000	Change £'000
Children's Services	+629	-	+629	+528	-101
Leisure and Culture	+57	-	+57	+47	-10
Economic Development	+23	-	+23	-	-23
City Strategy	+228	-180	+48	+73	+25
Neighbourhood Services	+214	-50	+164	+139	-25
Chief Executive's	+105	-20	+85	+60	-25
Property	+188	-	+188	+294	+106
Resources	-301	-	-301	-421	-120
Housing General Fund	-72	-	-72	-74	-2
Adult Social Services	+21	-	+21	-476	-497
Other Central Budgets	+134	-	+134	-103	-237
Treasury Management	-1,136	-	-1,136	-1,289	-153
General Contingency	-512	+250	-262	-306	-44
Total	-422	-	-422	-1,528	-1,106

- 11 Where increased spending pressures in service areas have been identified Departmental Management Teams have identified savings and action that can be taken to reduce the net effect of these pressures. The recurring variances from budget will be considered while preparing the budget proposals for 2009/10.
- 12 Although the projected out-turn is an underspend this is due in part to a number of one-off occurrences. In overall terms the council faces a number of on-going budget pressures and future budget rounds will need to identify appropriate actions to control and mitigate them.
- 13 Full details of the reasons for the service variations have been outlined in the individual EMAP reports. Key parts of this are explained in paragraphs 29 to 58 and more detailed information is available in individual EMAP reports. An analysis of the key items which comprise current variances for other central budgets is shown in paragraphs 20 to 27.

Non General Fund

- 14 There is a projected overspend of £300k against a budget of £86,389k on the Dedicated School Grant (DSG) functions. Under the terms and conditions of the DSG any in-year underspend must be carried forward to be added to next year's DSG allocation, any overspend can only either be funded from the general fund or carried forward and deducted from the following financial year's DSG. The proposal is to take the latter course of action should the projected overspend continue to the end of the financial year.
- 15 The HRA current working balance budget is £7,262k. The projected out-turn working balance is expected to be £7,547k, £285k more than the current budget. This is an improvement from the first monitor which was forecasting an increase of £51k.
- 16 The trading activity of Neighbourhood Services is reporting a shortfall against the budget of £93k, but are continuing to look for action that can be taken to improve the position. Although there are surpluses and deficits against all the trading activities the single most increased cost is £96k on fuel, based on current year prices. This forecast loss is a charge against the Trading Reserve.

Key Performance Indicators

17 Key Performance Indicators

Overall York is improving in 62% of indicators so far this year with 65% on target (of those with data available). However the LAA indicators are a concern as only 52% of those reported are showing improvement at this point and only 44% are on target. Many of these are survey based (12 indicators) or produced only on an annual basis will not give a true reflection of performance until the end of the year. This is also partly due to some of the education indicators (which are estimates at the moment) predicting results slightly below last year's. For a breakdown of the key indicators used in this report that have target or improvement data available for monitor 2 see Annex 1. Performance per directorate is outlined along with financial performance from paragraph 28 onwards.

NS	Total	Number on Target * ¹	Number showing improvement * ²
All Indicators Reported	342	92 of 141 (65%)* ¹	88 of 143 (62%)* ²
LAA Indicators	65	8 of 18 (44%)* ¹	12 of 23 (52%)* ²
National Indicators	187	39 of 62 (63%)* ¹	36 of 64 (56%)* ²
Indicators supporting a Corporate Priority		36 of 56 (64%)* ¹	31 of 56 (55%)* ²

Note 1 (*1) – the number of indicators with data available is reduced as many indicators are either only produced on an annual basis or they are survey based due to the new performance collection arrangements and national indicators. Not all indicators have targets set for this year.

Note 2 (*2) – As above but as some indicators are new not all have historical data, therefore improvement can not be shown at this stage.

Performance Improvement Headlines:

- **York schools rank the LA 3rd** - an Audit Commission schools survey (looking at the council from a the viewpoint of schools) ranks the local authority 3rd nationally of all local authorities in comparison to 5th in 2007.
- **Timeliness of children’s social care assessments** - the timeliness and completion rates of both initial and core assessments for children’s social care shows sustained good performance. Initial assessments carried out within 7 days has risen from 65.8% to 85.3% in quarter 2.
- **Adult social care self directed support** - York has exceeded it’s target of social care clients receiving self directed support (Direct Payments and Individual Budgets). 224 already achieved compared to target of 175.
- **Household waste and recycling** – the amount of residual household waste collected per head is continuing to improve and is set to meet target levels for 2008/09 (forecasted to be 624kg below target of 640kg). York also continues to increase the proportion of waste recycled and composted (45.2% April to September 08 compared to 43.4% in 2007/08).
- **Affordable homes** - despite the economic downturn the Council is making good progress towards meeting its Affordable Homes target (128 delivered so far compared to target of 165). However, the impact on new house building is a matter of concern.
- **Road traffic accidents** - Provisional figures for 2007 for road traffic accidents show 91 people were killed or seriously injured in road traffic accidents which is a significant improvement on the 160 reported in 2006.
- **Invoice payment** - % Invoices paid on time has increased from 93% to 98%, resulting in quicker payments to the Council’s suppliers during difficult economic conditions. This is part of a general trend for improvement across all directorates, especially over the last four months.

- **Corporate Health** - indicators have shown significant improvement since the end of 07/08. Staff attendance levels has improved by 13% (5.05 days per FTE compared to 5.81 days per FTE in 2007/08).

Areas of Concern:

- **Looked After Children population** - the size of York's looked after children population (25% higher) in the city continues to rise and put pressure on the Council's existing resources. This creates financial pressures through the greater use of independent fostering agency placements.
- **NEET (not in Education, Employment or Training) population** - the size of the NEET population is currently 7% as at 30th Sept 08 which is almost double 2007/08 figures (3.6%).
- **The economic downturn** has contributed to:
 - more house repossessions taking place. In response, the Golden Triangle Partnership will launch a mortgage rescue scheme in December 2008/January 2009.
 - there has been a drop in the balance of firms where sales or turnover has grown rather than fallen (taken from a York Business Survey reflecting around 200 businesses across a range of industries). It has fallen from 13.8% in April 08 to -4.4% in October 08 reflecting the change in economic conditions.

18 **Corporate Priorities** - 68% of indicators (with data available) that support a corporate priority are on target with 64% showing an improvement since 07/08. The Council has performed particularly well with the waste indicators (83% on target) and with improving life chances of vulnerable young people (73% on target). More detail can be found in paragraph 59.

19 **Key projects** - 75% of the Council's key projects are on track to be delivered on time. Those projects which have revised deadlines include the Barbican, Peasholme relocation and the Council Headquarters (more detail can be found in paragraphs 60 to 62).

Executive

Finance

Centrally Held Budgets

- 20 These are budgets where the reporting responsibility has been reserved to the full Executive, and so any movement on them is considered as part of this report.

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Notional Interest Income	-	(13,907)	(13,907)	-
Contribution from Capital Finance Account	-	(7,585)	(7,585)	-
Other Central Budgets	+134	6,031	5,963	-68
Treasury Management	-1,136	7,421	6,132	-1,289
General Contingency	-512	430	124	-306
Total	-1,514	(7,610)	(9,273)	-1,663

Notional Interest Income and Contribution from Capital Finance Account

- 21 These are balancing items to entries in service accounts and will always out-turn at budget.

Other Central Budgets

- 22 These budgets cover a variety of funding held centrally and details are shown in the table below.

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Pensions - costs of early retirements	+147	704	851	+147
Redundancy payments	-308	649	389	-260
Park & Ride provision for staff passes	+45	55	100	+45
LABGI Grant (gross budget £691k)	-	-	-	-
Specific contingency primarily for pay and grading	-	3,979	3,979	-
Miscellaneous, including inflation provision (e.g. for energy) and provision for bad/doubtful debts, expected to out-turn at budget	+250	644	609	-35
Total	-1,136	6,031	5,928	-103

- 23 The majority of the budgets are for contractual purposes and will be fully required. However, the cost of the staff park and ride passes is forecast to overspend, mainly due to a budget saving taken in an earlier year not being deliverable. One other area previously highlighted for consideration related to the budget set-aside to meet rising energy costs. When the 2008/09 budget was set the market price was slightly below existing contract prices. Despite this a small sum was included for possible price increases when the contracts expired. The Council's electricity contract expired at the end of October, and the new contract has led to increased costs of over 60% for metered supplies, estimated to be £235k for the part-year. However,

both this cost, and the cost of the unmetered electricity price increases are being managed within existing budgets. The full year costs of increased prices will be a budget pressure for 2009/10.

- 24 The projection on redundancies and pensions currently shows an underspend of £113k. This estimate has been prepared using information on all known redundancy situations for the remainder of the year, most of which have arisen from 2008/09 budget savings and expected retirements under the Council's early retirement policy.

Treasury Management

- 25 This activity is currently predicted to produce a surplus (underspend) of £1,289k. This is mainly due to two key events: a delay in borrowing made possible by strong cash-flows (£518k) and the overall level in interest rates throughout the year has seen cash balances earn a higher return (£978k) than originally projected. This is a consequence of the prolonged dislocation of money market rates against the Bank of England Base Rate. Due to the ongoing liquidity crisis interest rates payable on the markets have sat up to 1% higher than the bank base rate.

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Delay in Borrowing	-506	4,998	4,880	-518
Provision to repay debt	+240	4,609	4,849	+240
Increase in interest receivable	-803	(2,187)	(3,165)	-978
Miscellaneous	-67	1	(32)	-33
Total	-1,136	7,421	6,132	-1,289

General Contingency and Supplementary Estimate Requests

- 26 Contingency funding amounting to £800k was set aside in the budget process. As detailed in Annex 2 supplementary estimates approved to date amount to £370.1k leaving £429.9k. There have been requests for supplementary estimates from the Executive Member for Leisure, Culture and Children's Services, also shown in Annex 2, totalling £306k. It should be noted that one of these request (£72k) relates to expenditure which has occurred as a direct result of Government action, for which additional Revenue Support Grant was given in 2008/09. This request is therefore recommended for approval. If these are all approved there will be £123.9k for the remainder of the year. Annex 2 includes these items and other items that may still require funding and shows that if all of these potential demands do materialise the amount now projected to be called down from the contingency fund will total £125k, which will lead to a small overspend.

Virements Requested

- 27 The Council's financial regulations require that any virements between service plan heads of more than £250k are agreed by the Executive as part of the budget monitoring report. There have been no requests referred from the EMAP meetings.

The remaining pages of this report cover each directorate in more detail with an annex showing the key indicators used in this report.

Leisure, Culture and Children's Services Directorate

Finance

Children and Young People's Services

28 The second monitor was reported to EMAP on 2 December 2008, projecting that there would be an overspend of £528k on general fund services. This compares to a projected overspend of £629k at monitor one. Significant variances reported to Members are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Children and Families	+618	11,368	11,967	+599
Lifelong Learning and Culture	+88	392	452	+60
Partnerships and Early Intervention	-51	1,752	1,502	-250
Resource Management	-26	7,360	7,369	+9
School Improvement and Staff Development	-	556	556	-
School Funding and Contracts	-	7,480	7,590	+110
Total	+629	28,908	29,436	+528

- a An overspend on Fostering fees of £236k is projected. The Looked After Children population has increased by 28 to 194, of these over 130 are placed with foster carers. The Schools Forum has agreed an additional £100k can be charged to Schools Budget (hence the DSG) reducing the overspend to £136k.
- b There is likely to be an overspend of £194k on the placements budget for children with complex needs. The major impact is from 3 new and unexpected residential placements and two short term children's home placements. Efforts are being made to enable some children to be returned to York.
- c There is a projected overspend of £111k on legal fees due to a higher than usual number of complex (expensive) court cases involving York children, together with a general increase in the cost of cases resulting from a national trend for courts to call in more expert witnesses. In addition there is the impact of changes to public law charges imposed by central government, estimated at £90k for the year.
- d The ICC revenue grant for the Children's Centres programme was allocated for a full year's staffing and activity, however actual recruitment has taken place throughout the year, there is therefore a one-off underspend of £250k.
- e There is a net projected overspend of £32k on the cost of providing transport and escorts for SEN pupils, due to rising fuel costs, an increase in the cost of for short taxi journeys and the use of a variety of replacement suppliers following suspension of a contract with a major supplier. This is after charging £150k of these costs to the DSG by agreement with the Schools Forum.

f Based on historic profiles of expenditure there is a high risk of an overspend of £110k on the Repair and Maintenance buyback. A review of the operation of this budget will now be undertaken with colleagues in Facilities Management (who manage it on behalf of LCCS) to try and contain this overspend. If this is deemed to be a recurring issue then a review of the charges made to schools will have to be considered for 2009/10.

g Net minor variations presented to Members produce a further overspend of £40k.

29 Officers will continue to work to identify further savings to bridge the remaining budget gap before the end of the financial year.

30 The Executive considered requests for the release of £262k from the corporate contingency budget from the Executive Member as part of the first monitor, but requested more detailed information before it would agree. The Executive Member has agreed that the request for contingency funding totalling £262k be resubmitted, and the supporting information is shown in Annex 2. If the Executive subsequently agrees the request this would reduce the net projected general fund overspend to £266k.

Leisure and Culture

31 The second monitor was reported to EMAP on 2 December 2008, projecting an overspend of £47k, compared to a projected overspend of £57k at monitor one.

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Lifelong Learning and Culture	+47	8,681	8,728	+47
Total	+47	8,681	8,728	+47

32 As previously reported a number of valuable obsolete stock items have been sold at auction and raised £95k. This income was intended to support the first phase of redeveloping the central library into a library learning centre. However, at this stage a significant (£73k) shortfall against the core library income budgets is projected. Other minor variations produce savings of £18k, leading to a projected deficit for the overall library service budget (excluding the £95k from book sales) of £55k. These projections suggest that there could be very little scope to contribute to the refurbishment project in 2008/09. However, in setting the overall council budget for 2008/09 a sum of £44k was identified in the Corporate Contingency budget against a possible shortfall in library income. The Executive Member has asked that a request be made to the Executive to seek release of that sum from the contingency and allow it to be used to contribute towards the refurbishment project.

33 Other significant variances reported are:

a Parks & Open Spaces is currently showing an overspend, however the service manager has proposed action that will bring the service budget back into line by the end of the financial year.

b The Sports & Active Leisure area is forecasting an overspend of £77k (the main item being unbudgeted costs relating to the Barbican Centre prior to full

handover). The service itself has not yet been able to identify savings to contain the projected overspend.

c Net minor variations produce a further overspend of £10k.

34 Officers will continue to work to identify further savings to bridge the remaining £47k budget gap before the end of the financial year, including reviewing savings options that are being developed as part of the 2009/10 budget process to see if any could be implemented prior to 1 April 2009.

Non-General Fund Account

Dedicated School Grant (DSG)

35 The net projected overspend has increased from £173k at monitor one to £300k, an increase of £127k. Significant variances reported to Members are:

a The Nursery Education Grants budget is currently predicted to overspend by approximately £70k in 2008/09. This equates to 44 additional children claiming the free entitlement for the full year.

b Costs of providing supply teachers is projected to overspend by £116k.

c There is a forecast saving of £100k on the Area Based Grant, allowing excess expenditure in Behaviour Support to be funded.

d There is a projected overspend of £52k on Learning Support Assistants due to an increase in the number of pupils requiring more than 15 hours support per week.

e The number of pupil numbers used in the calculation of the DSG for 2008/09 has now been fixed by the DCSF. The confirmed pupil numbers for DSG calculation are 20.6 fte pupils lower than the estimates (0.1%). Each fte pupil generates £3,801 resulting in £78k less grant than originally estimated.

f Net minor variations presented to Members produce an overspend of £84k.

Performance

36 Overall 62% of indicators are showing improvement from 2007/08. Only 35% of the LAA indicators are showing improvement at this point, due to some of the education indicators (which are estimates at the moment) predicting results slightly below last years.

	Total	Number on Target	Number showing improvement
All Indicators Reported	141	38 of 58 (66%)	40 of 65 (62%)
LAA Indicators	30	3 of 10 (30%)	6 of 17 (35%)
National Indicators	85	21 of 33 (64%)	23 of 43 (53%)

Improvement Headlines:

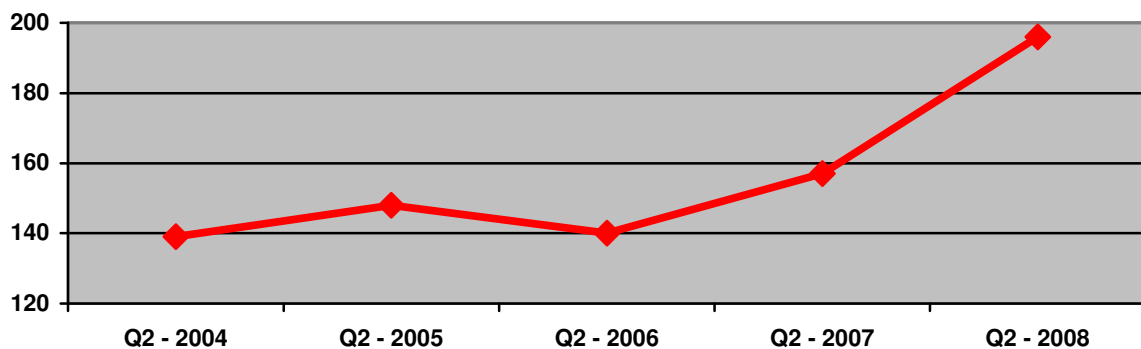
a. An Audit Commission schools survey (looking at the council from a the viewpoint of schools) ranked the local authority 3rd nationally of all local authorities in comparison to 5th in 2007. York schools also believe that the vast majority of the services provided by the council remain amongst the best in the country.

- b. The timeliness and completion rates of both initial and core assessments for children's social care shows sustained good performance. Initial assessments carried out within 7 days has risen from 65.8% to 85.3% in quarter 2.
- c. Children in care who miss 25+ days of school has met it's challenging target after concern in 2007. This year shows 11.8% compared to 21% in 2007/08.
- d. Children and young people's participation in high-quality PE and sport (2 hours a week) has risen again from an impressive 90% in 2007/08 to 94% this year.
- e. Permanent exclusions are down (0.12% this year compared to 0.25% in 2007/08). Learning Support Units in three schools have played a crucial role in reducing permanent exclusions.
- f. The number of pupils in 'Out of School' provision has fallen (80 in quarter 2 compared to 109 in 07/08) and the number of days provided in 'Out of School' provision increased (4.3 in quarter 2 compared to 3.6 in 2007/08).

Areas of concern

- g. The size of the looked after children population in the city continues to rise and put pressure on existing resources. This creates financial pressures through the greater use of independent fostering agency placements.
- h. NEET (not in Education, Employment or Training) figures at 30th September 2008 are higher than previously at 7%. Further tracking and targeted support from the post 16 team working from Castlegate and in the localities will reduce the numbers. However, the wider economic situation will make achievement of this target especially challenging.

Graph to show looked after children population between 2004 and 2008



City Strategy Directorate

Finance

Economic Development

37 The second monitor was reported to EMAP on 8 December 2008, projecting that the out-turn would be a break-even position. This compares to a projected overspend of £23k at monitor one. Significant variances reported are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Economic Development	+23	2,569	2,569	-
Total	+23	2,569	2,569	-

- a £54k shortfall on Newgate market tolls continuing the underlying downward trend in market income across the country. This is offset by £4k additional income from the electricity substation in the compactor yard and £10k saving through restructuring the markets cost base from October 2008.
- b £40k of miscellaneous savings have been identified to keep the spend within the budget.

City Strategy

38 The second monitor was reported to EMAP on 8 December 2008 showing a net projected overspend of £73k, assuming that the Executive supports the action set out in paragraph 39. Significant variances reported are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
City Development and Transport	+103	15,171	15,274	+103
Planning	+131	1,231	1,361	+130
Resource and business Management	-6	1,023	863	-160
Total	+228	17,425	17,498	+73

- a An underspend of £95k within city development and transport due to staffing variations.
- b A shortfall in car parking income of £128k by the end of the financial year. The economic downturn and the national concessionary bus pass scheme are contributory factors.
- c Income from development control, building control and land charges are projected to produce a net shortfall of £280k (£80k additional income from development control for the year offset by a shortfall of £180k in building control income, due to the downturn in the property market, and £180k in land charges income).
- d There have been a number of public inquiries into planning decisions resulting in additional costs totalling £165k.
- e The final allocation for the Housing & Planning Delivery Grant (H&PDG) for City of York Council for 2008/09 was announced in mid November resulting in an additional revenue grant of £135k (see also paragraph 39).
- f York's contribution to the joint waste project with North Yorkshire is expected to be £157k more than the budget. Offsetting this is a saving of £24k due to maternity leave, giving a net overspend of £133k.
- g Additional Yorwaste dividend is anticipated in the year totalling £238k.
- h Net minor variations presented to Members produce an underspend of £20k.

- 39 Within the H&PDG there is also an additional £135k for capital. It is very difficult to directly support the planning service with additional capital budgets, and it is therefore proposed to transfer an element of structural highway maintenance from revenue to capital to use this grant, thus allowing the grant to be utilised without impacting services. This needs the approval of the Executive.
- 40 Management Team will continue to review the position and look to ensure that the Directorate (including Economic Development) as a whole will not overspend. The main unknown variables at this time continue to be trends of concessionary fares usage / additional cost claims, parking income in the pre Christmas period, continuing issues re Planning income and future Winter Maintenance / flooding events.

Performance

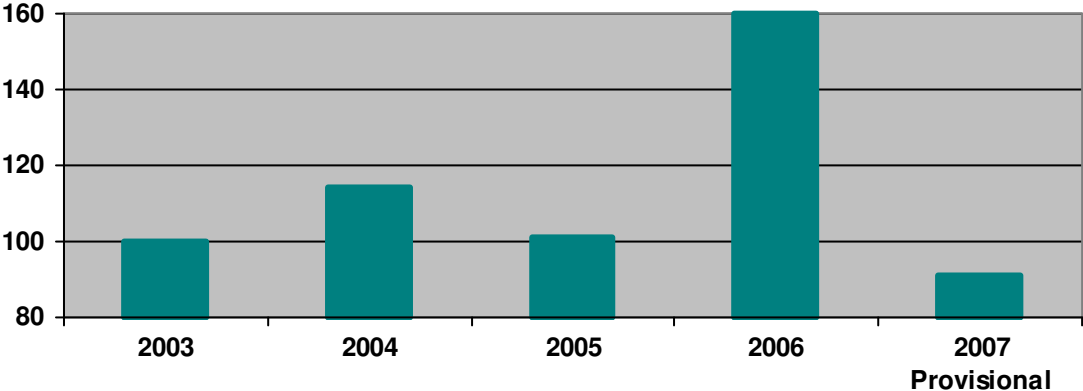
- 41 Over 57% of indicators have improved since 2007/08 and 66% are on target. However many indicators used by City Strategy are survey based and will not be available till the end of 2008/09.

	Total	Number on Target	Number showing improvement
All Indicators Reported	81	19 of 29 (66%)	17 of 30 (57%)
LAA Indicators	15	1 of 1 (100%)	1 of 1 (100%)
National Indicators	36	3 of 5 (60%)	4 of 6 (67%)

Improvement Headlines:

- a. Provisional figures for 2007 road traffic accidents show 91 people were killed or seriously injured in road traffic accidents which is a significant improvement on the 160 in 2006.
- b. 93.39% of new homes were built on previously developed land for the first 6 months of 2008/09. This exceeds the government set target (65%) due to the large number of homes built on brown field sites. It is anticipated that this indicator may not achieve the same levels as in previous years reflecting the consent that has been given for development on several Greenfield sites.
- c. The average time taken to repair a street lighting fault where the response time is under the control of the Council is currently performing at 0.24 days against a target of 5 days.
- d. Major planning applications determined within 8 weeks has improved from 69% in 07/08 to 78% for quarter 2.

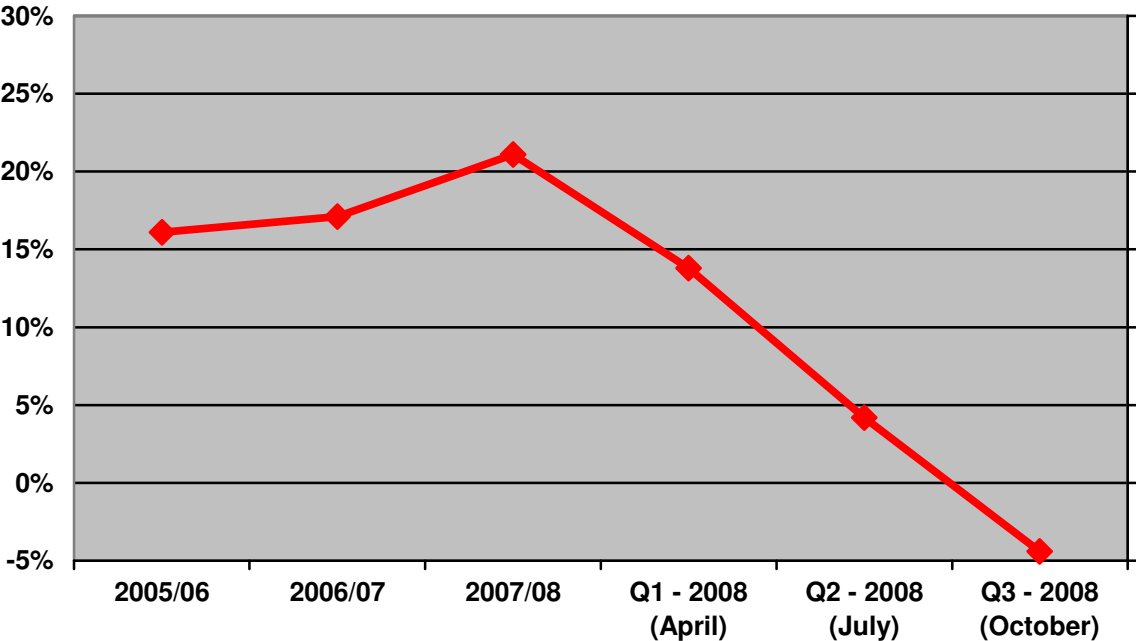
Graph to show number of people killed or seriously injured in road traffic accidents



Areas of concern:

e. The economic situation is starting to have an impact in York. The balance of firms where turnover has grown rather than fallen (relating to recent sales or turnover performance in York firms) has under performed falling from 13.8% in Quarter 1 to -4.4% in October 08 reflecting the change in economic conditions. This is taken from a York Business Survey and reflects around 200 businesses across a range of industries.

Graph to show the balance of firms where turnover has grown rather than fallen



Neighbourhood Services Directorate

Finance

General Fund Services

42 The second monitor was reported to EMAP on 4 December 2008, reporting a projected overspend of £139k on general fund activity. Significant variances are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Environmental Health and Trading Standards	+30	2,064	2,094	+30
Licensing and Bereavement Service	-	(860)	(860)	-
Registrars Service	-	24	24	-
Neighbourhood Management	-30	893	869	-24
Ward Committees	-	1,063	1,063	-
Neighbourhood Pride Service	+34	2,391	2,425	+34
Enforcement and Environment	-	690	690	-
Waste Management, Refuse and Recycling	+180	9,110	9,209	+99
Pest Control	-	45	45	-
Contribution to Safer York Partnership	-	328	328	-
Total	+214	15,748	15,887	+139

- a There is an forecast overspend on fuel of £49k in Refuse & Recycling, based on current year prices and staff costs are forecast to overspend by £107k, mainly due to bank holiday payments, the short term cost of an additional crew to supplement a recycling round and agency costs to cover vacant posts. In addition bin and container replacements are forecast to overspend by £62k, mainly due to an increase in prices.
- b Unbudgeted security costs at Towthorpe HWRC are forecast at £84k.
- c The above overspends are offset by a projected underspend of £203k on waste disposal costs, due to a reduction in tonnage of waste sent to landfill.
- d Net minor variations produce a further overspend of £40k.

Trading Activity

43 Current projections for the trading activities show an overspend of £93k compared to £63k at the first monitor. Details of the variances were reported to the EMAP, with the significant variances being:

- a. The Civil Engineering Service is forecasting additional surplus of £82k.
- b. Commercial Waste are forecasting a £129k reduction in surplus due to a reduction in their customer base.
- c. In total within the trading accounts, there is an overspend on fuel of £96k, based on current prices.

Performance

- 44 Over 65% of indicators (with data available) are showing improvement from last year. Of the 2 indicators relating to the LAA, both are showing improvement and are on course to meet 2008/09 targets.

	Total	Number on Target	Number showing improvement
All Indicators Reported	70	17 of 28 (61%)	20 of 31 (65%)
LAA Indicators	6	2 of 2 (100%)	2 of 2 (100%)
National Indicators	37	7 of 13 (54%)	5 of 11 (45%)

Improvement Headlines :

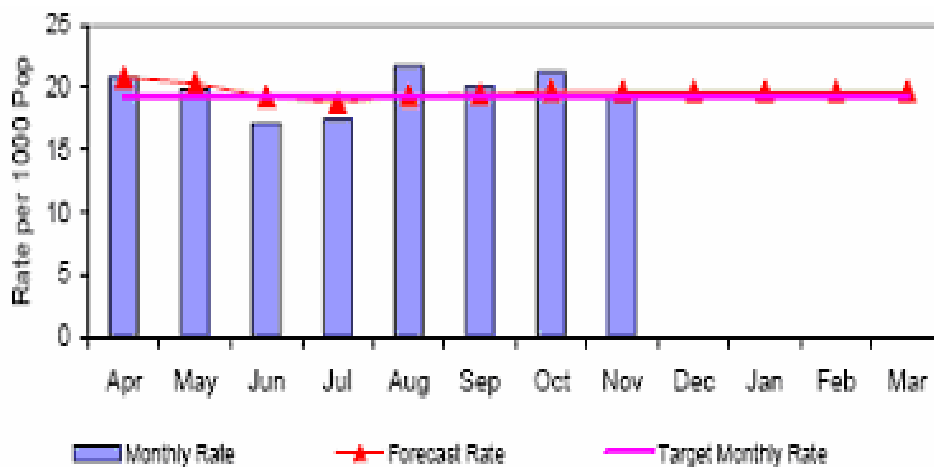
Neighbourhood Services:

- the amount of residual household waste collected per head is continuing to improve and is set to meet target levels for 2008/09 (forecasted to be 624kg against a target of 640kg), (significant due to being an LAA indicator).
- York continues to increase the proportion of waste recycled and composted (45.2% April to September 2008 compared to 43.4% in 2007/08).
- York continues to reduce the incidents of missed bins to 44 per 100,000 (50.6 in 2007/08), with 96% of those put right by the next working day (79% in 2007/08).
- Over the first 6 months, 96% of urgent repairs were done within government time limits (90% in 2007/08), and the average time taken to complete a non-urgent repair fell to 7.17 days (7.97 days in 2007/08).

Community Safety:

- Serious acquisitive crime rate is forecast to be 3602 for 2008/09 improving below target of 3891 (this is also an LAA indicator). This indicator includes a such crimes such as aggravated and normal domestic burglary, robbery, vehicle theft. Whilst the number of vehicle crimes have reduced, instances of domestic burglary has gone up.

Graph to show Serious Acquisitive Crime Rate



Areas of Concern:

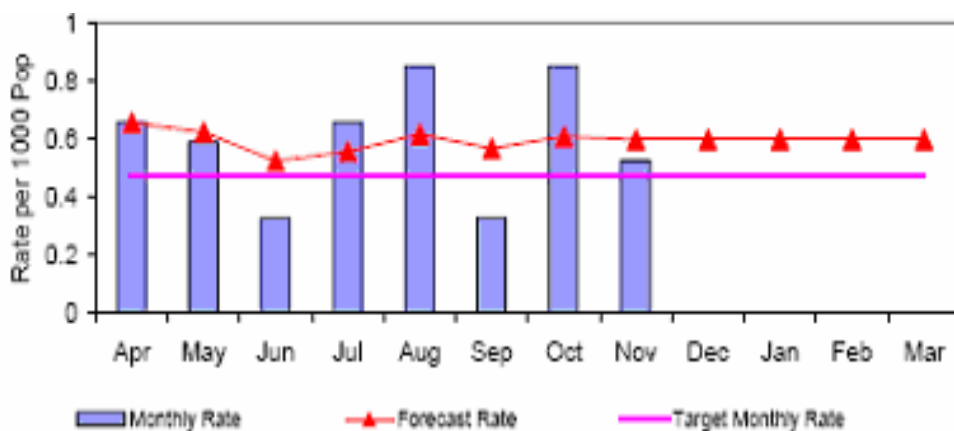
Neighbourhood Services:

- f. Levels of visible graffiti after two surveys stands at 6% which is above targets levels of 2%. A range of actions are in place across council and neighbourhood policing teams to combat this problem.

Community Safety:

- g. Serious violent crime and assault with injury crime rate are predicted to be higher in 2008/09 than 2007/08. New indicator counting rules have changed along with classification. There is a Nightsafe multi-agency group responsible for these indicators.

Graph to show Serious Violent Crime



Chief Executive's Directorate

Finance

Chief Executive's Department

- 45 The second monitor was reported to EMAP on 8 December 2008, reporting a projected overspend of £60k compared to £105k at monitor one, a reduction of £45k. Significant variances are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Corporate and Democratic Core	-	1,612	1,612	-
Chief Executive	-	398	393	-5
Director of People and Improvement	-	196	196	-
Human Resources	+140	66	128	+62
Marketing and Communications	+52	(52)	(12)	+40
Civic, Democratic and Legal	-26	2,426	2,389	-37
Pay and Grading	-	1,282	1,282	
Total	+105	5,928	5,988	+60

- a Additional consultancy costs of £75k supporting the Health and Safety function prior to the appointment of a new manager, and additional costs of £58k incurred

within Corporate HR partly as a result of backfill arrangements for Pay and Grading review, offset by additional income of £83k from the projected surplus from the recruitment pool.

- b Non-achievement from letting advertising of £20k on council boundary signs and a continued forecast shortfall of £25k from the Print Unit.
- c Staffing savings amounting to £71k resulting from vacancies within democracy and committee services.
- d Additional costs of £18k from temporary extra childcare solicitor.
- e Net minor variations presented to Members produce a further overspend of £18k.

46 The Directorate Management Team have looked to consider how the budgeted overspend can be brought back into balance. All members of the Management Team have been tasked to bring forward proposals that will provide savings for the Directorate without impacting on key deliverables. It is considered that the forecast overspend should be considerably reduced. The budget will continue to be monitored closely and the result of the management action will be reported back to Members as part of the third monitoring report.

47 The pay and grading project expenditure is expected to out-turn within the agreed budget. The only potential area for overspend is external legal fees, which are running at higher than the rate expected due to additional legal work. However there are other areas that are underspending which should keep the spend within the overall budget.

Property Services

48 The second monitor was reported to EMAP on 8 December 2008, reporting a projected overspend of £294k compared to £188k at monitor one, an increase of £106k. Significant variances reported to Members are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Property Services	+188	1,658	1,952	+294
Total	+188	1,658	1,952	+294

- a The three staffing trading accounts are projected to break even, however there is a projected income shortfall (£132k) within the Commercial Property Portfolio as current economic conditions lead to further voids within the sector. Emergency maintenance works on a number of properties has impacted too.
- b Administration accommodation is forecast to overspend by £137k, mainly due to an increase in hire and services charges from landlords (£96k) for additional costs of repair and maintenance. A 60% rise in electricity costs this year and increasing pressure on the limited repair and maintenance budget have contributed to this situation. All repair and maintenance expenditure is now on hold, items will be considered on a case-by-case basis and works only undertaken to meet health & safety requirements.

- c There is a projected overspend of £26k on the management of surplus assets. As it becomes more difficult to sell properties the costs of maintaining, securing and managing surplus property will become more expensive.
- d Net minor variations produce a small underspend of £1k.

Performance

- 49 Chief Executives have a limited number of indicators available as most of their national indicators are produced through the Place Survey and will not be available till next year. The Corporate Health section shows many of the other indicators produced by this directorate.

Resources Directorate

Finance

- 50 The second monitor was reported to EMAP on 9 December 2008, reporting a projected underspend of £421k compared to £301k at monitor one, a further underspend of £120k. Significant variances are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Director	-25	393	339	-54
Public Services	+30	2,191	2,183	-8
Financial Services	+26	199	227	+28
Performance, Audit and Risk Management	-63	215	174	-41
IT&T	-260	52	(274)	-326
Easy Project	-9	1,688	1,668	-20
Total	-301	4,738	4,317	-421

- a Within the Public Services variance there are additional pressures on Benefits Administration of £263k, comprising additional staffing costs of £292k mainly due to the delayed implementation of the benefits restructure (£262k) and reviewing overpayments (£30k) which will deliver savings next year, and additional costs on office equipment and telephony necessary for benefits restructure (£30k) against which there is an underspend of £59k on administration grants awarded for the introduction of the Local Housing Allowance and Employment Support Allowance. Offsetting this Benefits Subsidy is projected to overachieve income by £201k, due to fewer than budgeted eligible overpayment errors, especially those cases where fraudulent claims have been made.
- b Within IT&T savings totalling £166k have been realised through CYC undertaking technical work "in house" rather than through external suppliers as originally costed. In addition resourcing implications within Directorates have resulted in a number of development projects either not being completed within year as planned or being deferred completely until 2009/10. This has contributed £155k to the total ITT projected underspend.
- c The York Contact Centre is projecting a net underspend of £82k from key activities. An overspend on staffing budgets within the contact centre (£98k) due

to unachievable cost reallocations offset by a staffing underspend on the Council Tax and NNDR administration and Recovery teams (£97k). There is also an over-achievement of income of £95k on court costs from the recovery of Council Tax and NNDR debts, which is offset by additional court fees of £13k.

- d Net minor variations, mainly from staffing vacancies, presented to Members produce a further underspend of £80k.

Performance

- 51 Resources have improved on 80% the indicators reported with information available. Resources indicators tend to be internal indicators and therefore do not feature in the LAA. Many of national indicators do not have historical data either.

	Total	Number on Target	Number showing improvement
All Indicators Reported	19	10 of 13 (77%)	8 of 10 (80%)
National Indicators	6	2 of 2 (100%)	1 of 1 (100%)

Improvement Headlines:

- a. Housing benefit overpayments recovered as % identified in year has increased from 58% to 70%. This is due to a 42% decrease in the value of overpayments created in the year so far.
- b. Time taken to process housing benefit/council tax claims has decreased by two days. (17days compared to the 19 days reported in 2007/08, however this is based on a slightly different definition of this measure).

Housing and Adult Social Services Directorate

Finance

Housing General Fund

- 52 The second monitor was presented to EMAP on 8 December 2008 and reported a projected underspend of £74k compared to £72k at monitor one. Significant variances are:

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Housing General Fund	-72	1,405	1,331	-74
Total	-72	1,405	1,331	-74

- a The budget for Howe Hill Hostel is projected to underspend by £73k as a result of staff vacancies, lower repair costs, increased expenditure on utilities and equipment and higher occupancy levels.
- b An overspend forecast on repairs and utility costs at travellers sites of £31k is offset by an underspend of £33k forecast for the Homeless Advice Team mainly due to staff vacancies.
- c Net minor variations produce an overspend of £1k.

Adult Social Services

53 The second monitor was presented to EMAP on 8 December 2008 and reported a projected underspend of £476 compared to an overspend of £21k at monitor one, a movement of £497k.

	Monitor 1 Variance £'000	Net Budget £'000	Projected Out-turn £'000	Variance £'000
Corporate Services	-19	2,586	2,252	-334
Older People and Physical Disability	+329	23,244	23,159	-85
Mental Health	-86	2,321	2,226	-95
Learning Disabilities	-203	9,904	9,942	+38
Total	+21	38,055	37,579	-476

54 Part of this improvement is due to the Learning Disabilities team being extremely successful in applying for Continuing Health Care funding. £534k was received in 2007/08 but the forecast for 2008/09 is £1,085k, an increase of £551k (£193k more than projected in monitor one). Significant variances are:

- a. A net underspend on corporate services of £334k, mainly due to staff vacancies in the training section and money for backfilling staff attending training not yet allocated (£91k) and other savings.
- b. Continued pressures in Learning Disabilities results from the increase in both the number of customers and the complexity of their needs. Projected variances lead to an overspend of £38k in the year and include overspends on community support and transportation offset by underspends on residential and nursing care, mainly due to increased continuing health care funding.
- c. The projected variance for the Mental Health section is an underspend of £95k, with the largest variance being an underspend of £74k on residential and nursing care based on current customer levels remaining constant.
- d. Within the Older People and Physical Disability section a projected underspend of £85k is forecast. There are some large variations when compared to the budget in this area, as well as some substantial improvements in position from the first monitor. Overspends of £421k are projected in community support, a continuing pressure from 2007/08, offset by an increase in continuing health care funding amounting to £321k (additional income from the position in monitor one). In house Home Care savings of £292k are being achieved in excess of those identified as part of the budget process. The number of customers choosing to take a direct payment continue to increase, which improves performance indicators in this area but also leads to a projected overspend of £161k. The use of agency staff in the Intake team leads to a projected overspend of £154k. An overspending on pay in Elderly Persons Homes (£83k) results from high levels of sickness and a continued pressure to staff to minimum CSCI standards is offset by an excess of income (£445k). There are forecast overspends of £40k for repair and maintenance of private sector adaptations and £80k on Social Services properties, continuing pressures from 2007/08. Work is ongoing to investigate reasons for increased costs under the Repairs Partnership.

Non-General Fund Account

Housing Revenue Account (HRA)

55 The working balance budget on the HRA is £7,262k. This review indicates a net underspend of £285k which, together with the budgeted balance of £7,262k, now gives a total estimated balance of £7,547k. The variances reported to the EMAP include:

- a. Overspends totalling £461k, the main area being repairs and maintenance, principally plumbing and roofing, amounting to £350k.
- b. Underspends totalling £746k, including £99k from increased rent income due to a reduction in right to buy sales and lower voids, £60k due to lower property charge due to the reduction in right-to-buy work, £96k on housing operations mainly due to staff vacancies, reduction in legal fees and lower than forecast payments for the golden goodbye scheme and £200k additional income from interest earned due to having a higher than forecast working balance.

Performance

56 Over 62% of indicators are on target. Over half of the indicators are only counted on an annual basis.

	Total	Number on Target	Number showing improvement
All Indicators Reported	31	8 of 13 (62%)*	3 of 7 (43%)*
LAA Indicators	8	3 of 5 (60%)*	3 of 3 (100%)*
National Indicators	23	6 of 9 (67%)*	3 of 3 (100%)*

Improvement Headlines

- a. Despite the economic downturn the Council is making good progress towards meeting its Affordable Homes target (128 delivered so far compared to target of 165). However, the impact on new house building remains a matter of concern.
- b. York has exceeded its target of Social Care clients receiving self directed support (Direct Payments and Individual Budgets). 224 already achieved compared to target of 175.
- c. Timeliness of social care packages is on course to meet the target of 92% for 2008/09.

Areas of concern:

- d. The impact of the economic downturn has contributed to more house repossessions being undertaken. In response, the Golden Triangle Partnership will launch a mortgage rescue scheme in December 2008/January 2009.
- e. Timeliness of social care assessments is considerably below target of 76.5%, with only 53% of assessments completed on time.
- f. The new Peasholme Centre building at Fishergate has been delayed because the contractor became insolvent. Completion is now likely during July 2009.

Corporate Health

Performance

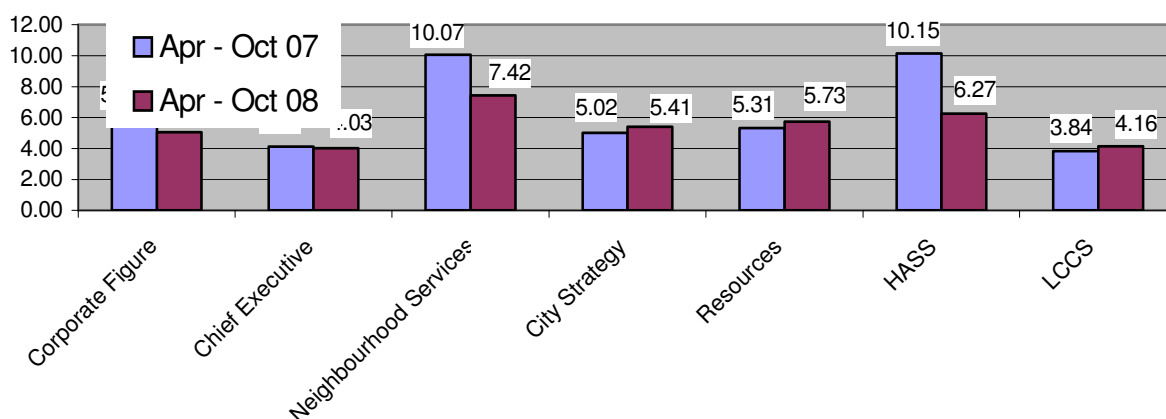
57 Overall Corporate Health has continued to improve in many areas continuing a trend from last year particularly in staff attendance levels and staff turnover.

	CYC	Latest for 08/09	Improvement from 07/08
Sickness	Number of days lost per FTE	5.05 days	Improved by 13%
	Long term lost per FTE	2.46 days	
	Short term lost per FTE	2.58 days	
	Stress days lost of total days lost	19%	Declined by 3%
	Staff Turnover	3.53%	Improved by 30%
Health and Safety	Major injuries to employees	2	Improved by 50%
	Minor injuries to employees	25	Improved by 42%
	Injuries (involving a hospital trip) to non-employees	13	Improved by 55%
Equalities	Equality Impact Assessments completed	11	Improved (target14)
	Ethnic minority including white other	3.49%	Stable
	Number with a disability	1.77%	Stable
Customer First Statistics	Letters dealt with within 10 days	94%	Declined by 1%
	Stage 2 Complaints dealt with within 10 days	95%	Improved by 12%
	Stage 3 Complaints dealt with within 10 days	93%	Improved by 43%
	Visitors seen within 10 minutes	99%	Stable
	Those needing further help seen within 10 minutes	99%	Improved by 1%
	Phone calls answered within 20 seconds	91%	Declined by 2%

Improvement Headlines

- Staff turnover (voluntary leavers) has reduced by 30% compared to the same period last year.
- Staff attendance levels are showing a 13% improvement from the same period last year with large improvements in Neighbourhood Services and HASS (see graph below). Schools have a significant impact on the LCCS figure, if schools are taken out of the calculation then LCCS sickness figure would be 3.32 days per FTE.
- Customer first statistics are showing significant improvement in terms of dealing with complaints.
- Health and Safety reported accidents have improved. The new Health and Safety Manager is reviewing the way data is collected and putting forward new proposals to assess each directorate across a range of health and safety measures.

Graph to show sickness comparing 2008 to 2007



Progress on priorities and key projects

58 This section provides members with an update on progress on the Council's 10 corporate priorities, the key projects that drive much strategic improvement work and the council's Single Improvement Plan (SIP), which is driving improvements in organisational effectiveness.

Corporate Priorities

59 68% of indicators that support a corporate priority are on target with 65% showing an improvement since 2007/08.

- Particular improvements include waste indicators (83% on target) and improving life chances of vulnerable young people (73% on target).
- The reduction of the actual and perceived impact of violent, aggressive and nuisance behavior is continuing to improve well since the end of 2007/08 with 80% of indicators showing improvement.
- The 2 indicators for customer focus both relate to turnover growth and confidence which have both declined due to the credit crunch
- Some of the cleaner streets indicators such as levels of graffiti and detritus have not improved since the end of last year.

Corporate priority theme	Total Priorities KPIs	Number on target	Number improving
Affordable housing	4	2 of 4(50%)	2 of 3(67%)
Cleaner streets	4	3 of 5(60%)	2 of 5 (40%)
Customer focus	2	0 of 2 (0%)	0 of 2 (0%)
EF Transport	2	2 of 2(100%)	1 of 2 (50%)
Healthy Lifestyles	7	5 of 7 (71%)	5 of 7 (71%)
Life Chances	16	11 of 15 (73%)	7 of 12 (58%)
Skills & employability	10	7 of 10 (70%)	8 of 9 (89%)
Waste & recycling	7	5 of 6 (83%)	4 of 6 (67%)
Environmental Impact	1	1 (100%)	0
Reduce violent, aggressive & nuisance behaviour	10	4 of 7 (57%)	7 of 9 (78%)
	63	40 of 59 (68%)	36 of 55 (65%)

Key Projects

60 There are 16 key projects that are helping to drive improvement across the city and within the council. The following 12 (75%) are on track to finish within the agreed project deadline:

- Former Terry's site development;
- Nestle South;
- York Northwest;
- Waste PFI;
- Community Stadium;
- Kerbside Recycling;
- Kingsway;
- Cycling City;
- Access York;
- University Swimming Pool;
- FMS (finance management system) replacement;
- School Modernisation.

61 The following projects all have revised target dates:

Barbican

- There are currently a number of options still being considered for the Barbican site;
- Rouane Decision – legal implications under consideration;
- Transactions for Auditorium and Coach Park Hotel to be complete.

Peaseholme relocation

- The contractor for the Peaseholme relocation recently went into voluntary administration and the administrator has now released the property. The re-tendering process to appoint a new contractor has now been completed and the new contract will begin on 5th January 2009.

Pay & Grading

- Following acceptance of the proposals in the second ballot, the council and the three trade unions signed a collective agreement on 4 December 2008. The Council is now in the process of implementing the new pay structure and allowances for staff covered by the proposals. The new pay structure will be effective from 1 April 2008, with staff receiving back pay in December's pay.

Council Headquarters

- A full financial evaluation has taken place to re-profile the approved project budget to take account of the revised project timescales and the implementation of a developer led solution. It is intended that the new solution will be contained within the existing approved project budget. Evaluation of submissions will take place early January 2009 when a short list of potential developers will be selected. The council will then issue potential developers a detailed Invitation to Participate in Dialogue and Invitation to Submit Outline Solution in accordance with the EU procurement process.

62 **FMS replacement** This project has not slipped but is a key imperative for York Council. The new system build in it's 'prototype' state is now in the process of being tested by the Steering Groups and Key users. A decision has been taken to fully implement the purchasing module in April and Finance Managers are working to identify resources that they will need to direct to the project from within their own directorates in order to achieve project targets. Detailed council wide communications are planned to take place during December and January.

Single Improvement Plan (SIP)

63 After discussion with individual CMT members, an updated set of milestones has now been set for each workstream up to the end of the current financial year, and progress made towards achievement of these milestones will be reported each month.

64 Good progress has been made in many areas, in particular the proposals for a reform of scrutiny arrangements, preparing a draft Consultation and Engagement Strategy and the adoption of a data quality strategy, which is now being rolled out across all directorates. At present, all milestones up to the date of collection (1 December 2008) are 100% complete.

65 Steady progress has been made on equality impact assessments, with an event held in November to involve the community in the process. Reforms to the budget processes are well under way, with reports scheduled for December to both CMT and Executive.

66 A report on member training is scheduled for February, with a member development programme to follow. The newly appointed Health & Safety Manager is reviewing current arrangements to ensure the safety of the Council's employees, and new HR processes are under development, while the implementation of the new Pay and Grading structure is close to completion.

Analysis

67 All the analysis of service performance, progress on key actions and the financial position of the Council is included in the body of the report.

Corporate priorities

68 The proposals included in this report are designed to demonstrate progress on achieving the Council's corporate strategy and the priorities set out in it. It also provides evidence of the co-operation between CMT and the Executive in working together to drive forward prioritised improvement and address performance, delivery or financial issues of corporate concern.

Implications

69 The implications are:

- Financial - the financial implications are dealt with in the body of the report.
- Human Resources - there are no specific human resource implications to this report, but it does contain important information on staff management and welfare.

- Equalities - there are no equality implications to this report
- Legal - there are no legal implications to this report
- Crime and Disorder - there are no specific crime and disorder implications to this report, but it does provide the Executive with crucial performance information to inform future resource allocation.
- Information Technology - there are no information technology implications to this report
- Property - there are no property implications to this report
- Other - there are no other implications to this report

Recommendations

70 Members are asked to:

- a. note the performance issues identified in this report.

Reason: So that corrective action on these performance issues can be addressed by CMT and directorates.

- b. Consider the application for a supplementary estimate request of £72k for court costs fees for child protection cases to be funded from the contingency fund, as set out in paragraph 26 and Annex 2, and to grant approval.

Reason: In accordance with the Executive's Constitutional powers to make decisions on the level and granting of supplementary estimates.

- c. Consider the applications for the remaining supplementary estimate requests of £234k to be funded from the contingency fund, as set out in paragraph 26 and Annex 2, and decide whether, or at what level, to grant approval.

Reason: In accordance with the Executive's Constitutional powers to make decisions on the level and granting of supplementary estimates.

- d. Consider the request from the Executive Member for City Strategy to use the capital element of the additional Housing and Planning Delivery Grant (£135k) to fund structural maintenance currently charged to revenue, as set out in paragraph 39 and decide whether to approve.

Reason: In accordance with budgetary and performance monitoring procedures.

Contact Details

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Pauline Stuchfield, Head of Audit & Risk Management
Susan Goodhall: Interim Head of Finance

Specialist Implications Officer(s) None

Wards Affected: *List wards or tick box to indicate all*

All

√

Background Working Papers

Reports to individual EMAP meetings

Annexes

Annex 1 - Overview of key performance indicators used for monitor 2 (where data is available)

Annex 2 - Contingency